

URBAN RESEARCH AT BC3: HOW CLIMATE CHANGE SCIENCE CAN SUPPORT URBAN POLICY MAKING

Authors: Marta Olazabal, Elisa Sainz de Murieta, Sébastien Foudi, Aline Chiabai, Ibon Galarraga, Stefano Balbi, Leif Vogel, Maria Victoria Román and Anil Markandya

Introduction

Urban areas represent less than 2% of the occupied land but concentrate half of global population (80% in Europe) and most of the economic activity and infrastructures (energy, water, transport, waste...). The key role of cities in the climate policy arena has drawn increasing attention in the last few years. On the one hand, urban areas are major producers of greenhouse gases (GHG): globally, they are responsible for 71-76% of CO₂e emissions. On the other hand, cities are vulnerable to many of the expected climate change impacts: for example, 90% of major cities are subject to inland or coastal flooding. The economic impact of extreme events in cities is expected to increase not only due to climate change, but also as a result of socio-economic development linked to the expected population growth and urban expansion.

This represents an enormous challenge but also a new window to progress towards a more resilient and sustainable urban future. There are many cities around the world that are already acting to both reduce GHG emissions and cope with the most critical impacts. Scientific research can play a major role to help cities achieve ambitious climate goals in a cost efficient way, so this opportunity for building stronger bonds between science and policy making at the local level should not be missed.

Urban research at BC3 is cross-cutting topic that interacts with all research lines (low carbon transitions, climate policy, climate and natural environment and health and climate) emerging as a multidisciplinary field that explores the contribution of cities to global warming and the ecological, political, social and economic implications of climate change at the local scale.

This Policy Briefing gathers twelve examples in which researchers at BC3 have assessed a variety of climate-related challenges at the local scale. Several of these experiences have been developed hand in hand with local policy-makers or stakeholders. This collaboration is not without difficulty, but the advantages exceed by far the barriers that may arise [see BC3_PB_2016_03]

Key points

- *Cities are becoming key actors to successfully achieve climate change mitigation and adaptation objectives. .*
- *Science can play a crucial role in supporting local decision-makers by providing top level information and applying or developing ad-hoc methodologies .*
- *The case studies presented here show that there are many opportunities for science-policy collaboration at the city level .*
- *The urban scale allows a higher visibility of this collaboration and can contribute to strengthening this link at different scales .*



Flood-risk assessment under uncertainty: the case of Bilbao

Contact: Dr. Elisa Sainz de Murieta (elisa.sainzdemurieta@bc3research.org)

The Basque Country is an area with high-risk due to natural flood hazard, which is the result of a combination of natural and socio-economic drivers, but it also presents a high vulnerability, with most of its low-lying areas densely urbanised.

The peninsula of Zorrotzaurre, once a heavy industrial area, is now planned to be the newest urban district of Bilbao and the risk of flooding represents a major challenge for this urban area. The adoption of the Special Urban Plan

for Zorrotzaurre in 2007 included an important initiative to reduce flood-risk: the opening of the Deusto channel, which will turn Zorrotzaurre into an island. However, a great degree of uncertainty still exists about the timing (when will it occur) and extent of current and future flood-risk. This study develops a stochastic model that contains two risk factors: the frequency of extreme events, modelled with three Poisson processes, and the stochastic growth rate of the damage due to climate and socio-economic effects under uncertainty. The study calculates an analytical solution for the Net Present Value (NPV) of investment at a given time, and a binomial tree is used to study whether the best decision at present is to invest or to wait. The study concludes that the opening of the canal can be considered a no-regret adaptation measure and supports the decision of investing in adaptation to reduce current and future flood-risk.

ECONADAPT Project funded by the European Commission (Grant Agreement No. 603906).

Environmental and economic impact of the Sustainable Energy Action Plan of Bilbao

Contact: Dr. Ibon Galarraga (ibon.galarraga@bc3research.org)



Energy efficiency programs aim to rationalise energy consumption and reduce carbon emissions. In the last 10 years an increasing number of cities and regions have developed efficiency plans. Energy efficiency programmes typically require initial investment in new infrastructure and more efficient equipment which is compensated by lower energy costs. This study analyses the (direct and indirect) economic and environmental effects of an energy efficiency plan based on real case study of the city of Bilbao. The city of Bilbao joined the Covenant of Mayors in 2010 and submitted its Sustainable Energy Action Plan (SEAP) in 2012. The study developed by BC3 addresses the measures included in this plan and strives to differentiate between new and additional measures and those that would have been implemented anyway. It also accounts for alternative uses of funds for the programme. These are critical

issues to understand the real economic and environmental effects of these plans and thus avoid double counting of multiplier effects.

The results show that one euro invested in SEAP can be said to produce €1.27 in outcome and €0.18 in income. But, focusing solely on the additional measures, each euro of investment increases output by €0.26 and decreases income by €-0.02. Therefore, it can be said that the Plan meets the objectives, provides economic benefits and reduces CO₂ emissions in the period, even when only the measures stemming directly from the plan are considered. In conclusion, these results highlight the importance of distinguishing, when assessing the plan, between actions determined by the plan itself and actions that would have been implemented anyway as the effects could be overvalued.

Study supported by REPSOL Foundation through the Low Carbon Programme.

Assessing the benefits of early warning for urban flood risk to people: the case of Zurich

Contact: Dr. Stefano Balbi (stefano.balbi@bc3research.org)



This study develops a novel methodology to assess flood risk to people by integrating people's vulnerability and ability to cushion hazards through coping and adapting. The model is used to estimate the effect of improving an existing Early Warning System.

The proposed approach extends traditional risk assessments beyond material damages; complements quantitative and semi-quantitative data with subjective and local knowledge, improving the use of commonly available information; produces estimates of model uncertainty by providing probability distributions for all of its outputs.

Flood risk to people is modelled using a spatially explicit Bayesian network model calibrated on expert opinion. Risk is assessed in terms of: (1) likelihood of non-fatal physical injury; (2) likelihood of post-traumatic stress disorder; (3) likelihood of death. The study area covers the lower part of the Sihl valley (Switzerland) including the city of Zurich. Model results indicate that the potential benefits of an improved early warning in terms of avoided human impacts are particularly relevant in case of a major flood event.

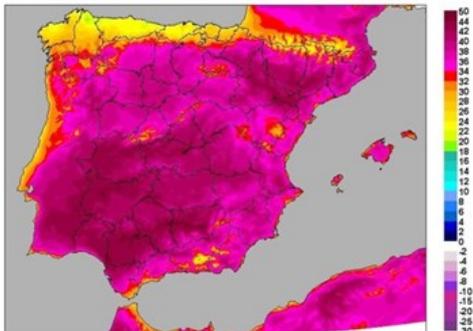
KULTURisk Project funded by the European Commission (Grant Agreement No. 265280).

Heat health watch warning systems and threshold temperature

Heat health watch warning systems and threshold temperature

Contact: Dr. Aline Chiabai (aline.chiabai@bc3research.org)

D=6: T. MÁXIMAS previstas para el lunes, 6 de julio de 2015. Postproceso 2015063000



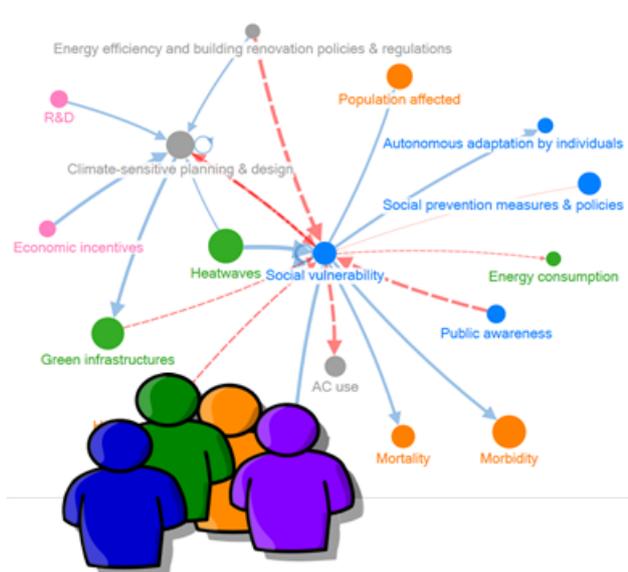
The frequency, intensity and duration of heat waves are expected to increase in the coming decades, as an effect of the changing climate, posing increased hazard to human health, particularly in urban settings and among vulnerable population groups, such as the elderly, people in poor health and children. In this context, heat health watch warning systems (HHWWS) have been established at city and national levels to inform citizens about possible ways to guard against excessive heat exposure and to implement emergency plans. A heat warning is issued when a critical threshold temperature is exceeded above which observed population mortality start to increase significantly, according to epidemiological evidence.

A case study carried out in the city of Madrid has shown that the incorrect setting of the threshold temperature may result in a significant increase in total costs associated with the implementation of the HHWWS and decreased effectiveness of the system to reduce heat-related health impacts. Final results suggest that a periodical evaluation of the threshold temperature over time is essential to deliver effective urban adaptation measures in a changing climate and that long term projections of heat wave impacts and adaptation measures need to take into account acclimatization processes.

BASE Project funded by the European Commission (Grant Agreement No. 308337).

Integrated and participatory climate adaptation planning

Contact: Dr. Marta Olazabal (marta.olazabal@bc3research.org)



Developing climate policies is a challenging issue. Cities are complex environments and climate change poses many challenges. Climate change impacts have a multilevel and cross-sectoral nature. This means that impacts affect to different spatial levels in different ways and to different sectors, which are interconnected. For this reason, accounting for information on-the-ground to plan urban management and planning interventions could improve the efficiency of urban policies and therefore, people's lives.

In particular, participatory approaches that elicit stakeholders' and experts' knowledge and experience are useful to link sectors, institutions, stakeholders and population groups. Using participatory approaches may reveal 'hidden' or less evident information but not for that less important. In the city of Madrid, a participatory cause-effect mapping approach was developed to collect information directly from key stakeholders and decision-makers working in different sectors presumably impacted by heatwaves. This mapping approach allows generating scenarios of the impact of potential climate adaptation interventions such as the deployment of green infrastructures or the performance of heat warning plans. Being multi-sectoral and expert-based, this becomes a very useful tool in integrated decision-making as it aggregates knowledge from various sources critical to plan the future of the city.

BASE Project funded by the European Commission (Grant Agreement No. 308337).

Sea-level rise and extreme events: a flood-risk analysis in Plentzia (Basque Country)

Contact: Dr. Elisa Sainz de Murieta (elisa.sainzdemurieta@bc3research.org)

A great part of the world population and major socio-economic infrastructures are located in coastal areas. Only in the last 40 years, the population at risk of coastal extreme events has almost doubled. This situation is expected to become worse for most coastal towns and cities around the world due to the effect of climate change and sea-level rise (SLR). In this context, this study was designed to assess the risk of coastal flooding in the town of Plentzia, located in the Basque Country (Spain), considering different scenarios of SLR and its combined effect with maximum astronomic tides. Flood-risk maps were used to identify the assets exposed and estimate the potential economic damages under each scenario.

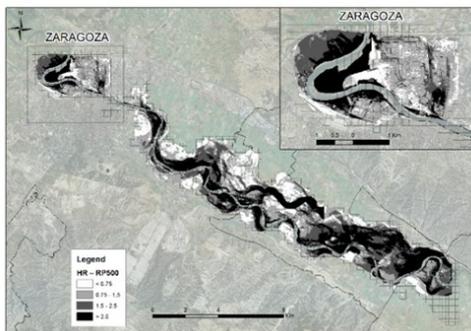


Results show significant impacts when astronomic tides are considered. Under the most pessimistic scenario, some areas could be affected already by 2030 and damage costs could increase by 15 from 2030 to 2100 as shown in the map. Identifying those areas most at risk, as well as the potential timing of the impacts, can be a very relevant input for policy makers in order to design effective adaptation policies, especially those with a long-term time-span, such as urban planning.

Doctoral Dissertation ELISA SAINZ DE MURIETA (University of the Basque Country, 2016).

Flood risk prevention: the importance of the spatial assessment of the risk

Contact: Dr. Sébastien Foudi (sebastien.foudi@bc3research.org).



Flood-risk prevention measures are designed to reduce the adverse consequences associated with floods for humans, the environment, cultural heritage and economic activity, as per the EU Floods Directive. In this context, spatial hydro-economic approaches are widely used to estimate expected flood risks and present advantages for prevention.

Such approaches provide relevant information for flood prevention to policy makers such as: i) information necessary to prioritise locations and sectors and ii) information on the distribution of the expected risks, whether they originate from frequent floods with slight consequences or from exceptional floods with major consequences. The spatial assessment reveals how the risk is distributed between upstream and downstream cities. The case study developed in

Zaragoza showed how flood infrastructural measures transferred the risks to downstream floodplains, which were originally less densely populated.

In terms of flood risk prevention the spatial distribution of the risk implies the coordination and the complementarity of institutional, infrastructural, environmental and socio-economic prevention measures to effectively reduce the risk along the river catchment and prevent cascading effects.

PREEMPT Project funded by the European Union (Grant Agreement 070401/2010/579119/SUB/C4).



Promoting green areas and human health: programs with multiple benefits

Contact: Dr. Aline Chiabai (aline.chiabai@bc3research.org)

Biodiversity is a foundation of human health, as clean water and air, healthy environment, healthy management of livestock are all crucial for public health. Moreover, direct contact with nature contributes to improve immune function, mood and concentration, while reducing stress and increasing the benefits of physical exercise. Non-communicable diseases (NCDs), such as heart disease, diabetes, cancer, and chronic respiratory illnesses, are now a global health

URBAN RESEARCH AT BC3: HOW CLIMATE CHANGE SCIENCE CAN SUPPORT URBAN POLICY MAKING

epidemic. More than 36 million people die every year from NCDs, and the impact is projected to increase to 44 million by 2020, with higher vulnerability in urban areas and among economically disadvantaged groups. These impacts can be reduced by changes in lifestyle, which will also benefit urban biodiversity adaptation to climate change.

In this context, the ECOHEALTH project has analysed the co-benefits provided by green areas within a multi-sectorial approach in a context of climate change. The analysis has shown that green spaces can be effective adaptation options as they can provide a localized cooling effect, while decreasing the risk of flooding and improving water quality. At the same time, they provide other co-benefits, such as improving air quality by cutting particulate pollution, reducing traffic noise, and improving human health, both physical and psychological, offering opportunity for recreational activities and thus promoting more active lifestyles.

ECOHEALTH Project, funded by Fundación Biodiversidad (Convocatoria CA 2013).

Green roofs: a solution for urban adaptation to climate change?

Contact: Dr. Sébastien Foudi (sebastien-foudi@bc3research.org)

Green infrastructure is becoming an important concept in environmental and urban planning. Nature-based solutions in the urban context are presented as providing large services and benefits locally and globally to society. Among these nature-based solutions, extensive green roofs are particularly relevant as they are expected to provide various services: water runoff regulation, air quality regulation, energy savings, carbon sequestration, urban climate regulation, biodiversity conservation or aesthetic services.



In a study developed in the city of Madrid, the social profitability of extensive green roofs has been tested. The estimated services are derived from energy savings for cooling, carbon footprint reduction, rain water retention and reduction of heat-wave

related mortality, in a climate change context. This study emphasizes that accounting for the urban-ecological processes like urban heat island regulation or epidemiological processes, is the most appropriate way to estimate the performance of green roofs with the least possible bias. Results highlight the large uncertainty in the deployment of green roofs as an urban strategy of adaptation to climate change.

BASE Project funded by the European Commission (Grant Agreement No. 308337).

An assessment of health impacts and socio-economic costs of air pollution

Contact: Dr. Leif Vogel (leif.vogel@bc3research.org)

Current annual global estimates of premature deaths from poor air quality are estimated in the range of 2.6-4.4 million euros, and 2050 projections are expected to double against 2010 levels. In Europe, annual economic burdens are estimated at around 750 billion euros. Climate change will further exacerbate air pollution burdens; therefore, a better understanding of the economic impacts on human societies has become an area of intense investigation. In terms of air pollution's impacts on human health, urban areas are most vulnerable by their very nature of high population density. Furthermore, they also act as a significant source of pollutants (e.g., emissions by transportation). However, it is important not to treat urban areas as isolated entities due to long range transportation of air pollution and involved atmospheric chemistry.



The project GLANCE aims at providing an assessment model for calculating the health impacts and socio-economic costs of air pollution. It exploits a wealth of higher resolution air pollutants data in the European region, which is made accessible by the Copernicus Atmospheric Monitoring Service

URBAN RESEARCH AT BC3: HOW CLIMATE CHANGE SCIENCE CAN SUPPORT URBAN POLICY MAKING

(CAMS), a new European capacity joining state of the art measurements, chemical transport models and meteorology driven by the European Centre for Medium-Range Weather Forecasts (ECMWF). In the end, a framework is envisaged which allows policy makers to implement health mitigation measures in real time taking into account different spatial scales ranging from urban to regional.

GLANCE project funded by the European Commission (Grant Agreement No. 659549).

The role of economics, finance and the private sector on urban climate change policy

Contact person: María Victoria Román (mavi.roman@bc3research.org)



Cities are where most socio-economic development around the world occurs. Therefore, how cities respond to climate change strongly depends on economic factors. The aim of this study is to clarify the role of economics, finance and the private sector on urban responses to climate change. In order to achieve this, examples of current or past experiences are provided along with lessons learned and key messages. The main conclusions are the following: Socio-economic development and climate change policies must be integrated to promote synergies and reduce conflicts. The public sector should create a stable and coherent regulatory framework (integrated across city, regional and national levels) that facilitates actions and triggers investments in line with a low-carbon and resilient socio-economic development. The private sector will respond contributing with financial investment, process and product innovation, capacity building and leadership.

Well-designed public-private partnerships can deliver effective action and, if tailored to the local conditions, create institutional and market catalysts for participation. Another critical point for raising funds for climate action is to enhance credit worthiness and build the financial capacity of cities. Finally, networks of cities have proved to be an effective way of diffusion of best practices. Therefore, recognizing and rewarding successful and replicable urban experiments can be an effective way of multiplying the global response to climate change.

CICEP project funded by the Research Council of Norway.

Planning for India's Urban Century – Urban Innovations and the search for SMART alternatives to business – as – usual urbanization

Contact person: Prof. Anil Markandya (anil.markandya@bc3research.org)



The Urban Transitions Initiative serves to act as a global champion and a leader for unlocking the power of cities to support an improved national economic, social and climate performance. This initiative proposes to support and catalyze change in three to five rapidly urbanizing economies globally over the next few years. Through analyses and engagement with key economic decision makers at the national and city level, the objective would be to improve the quality of decision-making to facilitate sustainable urban transitions.

This particular component of the programme looks at India's urban areas, which are rapidly being transformed, with an increasing number of cities becoming the country's engines of growth. As one of the by-products of this economic prosperity, cities are now catering to rising demand for a good quality of life from its citizens. The study compares the true social costs of infrastructure under a smart city development plan against that under business and usual. Smart city development has lower social costs for the provision of energy, transport and water. It can be more equitable as well. But issues arise in terms of finance and affordability of services: direct economic costs are often lowest for options that have the highest full costs. Thus the incentive to go for the lowest full cost options will need strong policy instruments.

Funded by the New Climate Economy (NCE).

REFERENCES

- (1) Abadie, L.M., Sainz de Murieta, E., Galarraga, I. 2016. *Investing in adaptation: flood risk and real option application in Bilbao. Work under development for the Econadapt Project.*
- (2) Delgado, J., Gonzalez-Eguino, M., Galarraga, I., Lucas, J. 2015. *Economic and environmental impact of the energy efficiency plan of the city of Bilbao. DYNA Energia y Sostenibilidad, 4(1).*
- (3) Balbi, S., Villa, F., Mojtabed, V., Hegetschweiler, K.T. and Giupponi, C., 2015. *A spatial Bayesian network model to assess the benefits of early warning for urban flood risk to people. Natural Hazards and Earth System Sciences Discussions, 3, pp.6615-6649.*
- (4) Chiabai, A., Spadaro, J., Polanco-Martínez, J., Neumann, M., 2015. *Evaluating health watch and warning systems in a changing climate: a cost-benefit analysis for the city of Madrid. Presented to ECCA European Climate Change Adaptation Conference 2015, Copenhagen, Denmark.*
- (5) Olazabal, M., Chiabai, A., Neumann, M.B. and Foudi, S. 2015. *Fuzzy Cognitive Mapping to enhance climate change adaptation to heatwaves in the city of Madrid. Presented to ECCA European Climate Change Adaptation Conference 2015, Copenhagen, Denmark.*
- (6) Sainz de Murieta, E. 2016. *Environmental and economic impacts of sea-level rise in the Basque Coast. Doctoral Dissertation, University of the Basque Country.*
- (7) Foudi, S., Osés-Eraso, N., Tamayo, I. 2015. *Integrated spatial flood risk assessment: The case of Zaragoza. Land Use Policy. Vol 42: 278-292.*
- (8) Martínez-Juarez, P., Chiabai, A., Quiroga Gómez, S., Taylor, T., 2015. *Ecosystems and human health: towards a conceptual framework for assessing the co-benefits of climate change adaptation. BC3 Working Paper Series 2015-01. Basque Centre for Climate Change (BC3). Bilbao, Spain.*
- (9) Foudi, S., Spadaro, J.V., Chiabai, A., Polanco-Martínez, J.M., Neumann M.B. 2015. *Urban ecosystem services from green roofs under climate change: costs, benefits, thresholds and uncertainty. Presented to ECCA European Climate Change Adaptation Conference 2015, Copenhagen, Denmark.*
- (10) Vogel, L., Vande Hey, J., Faria, S.F., Spadaro, J.V. 2015. *Health impacts of atmospheric pollution in a changing climate. BC3 Working Papers Series [2015-03].*
- (11) Schwarze R., Meyer P., Markandya A., Kedia S., Maleki D., Román de Lara MV, Sudo T., Surminski S., Anderson N., Olazabal M., Grafakos R., Dobardzic S. (Forthcoming). *Economics, Finance, and the Private Sector (Chapter 7) in Rosenzweig C., W. Solecki, P. Romero-Lankao, S. Mehrotra, S. Dhakal, T. Bowman, and S. Ali Ibrahim. ARC3.2 Urban Climate Change Research Network. Columbia University. New York.*

PHOTO CREDITS

(1)Deusto Canal: Photo provided by Zorrotzaurre Management Commission (www.zorrotzaurre.com), (2) Bilbao: Photo by Abel de Burgos. Attribution-NonCommercial 2.0 Generic (CC BY-NC 2.0) (3) Zurich flood risk map: Balbi et al. 2015., (4) Map of maximum temperatures in Spain (6 July 2015): AEMET (State Meteorological Agency, Spain), (5) System map: Results of the study. Prepared by Marta Olazabal, (6) Sea-level rise scenario for Plentzia: Sainz de Murieta, E. 2016, (7) Zaragoza flood risk map: Foudi et al. 2015, (8) Jogging in the park: Photo by Thomas Hawk. Attribution-NonCommercial 2.0 Generic (CC BY-NC 2.0), (9) Green Roof: Photo by Highview Creations, (10) Air pollution: Photo by David Holt. Attribution-NonCommercial 2.0 Generic (CC BY-NC 2.0), (11) New Orleans: Photo by Glen Campbell. Attribution-NonCommercial 2.0 Generic (CC BY-NC 2.0), (12) Mumbai: Photo by Deven Dadbhawala. Attribution-NonCommercial-NoDerivs 2.0 Generic (CC BY-NC-ND 2.0).