# Payments for pioneers?

Acknowledging farmers' perspective heterogeneity to avoid emissions from land-use change in the tropical forest frontier

adoption

diffusion theory

avoided deforestation

payments for ecosystem services

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PhD thesis: Rewards for ecosystem services and adoption of sustainable practices in complex social-ecological systems:

What role for policy?

Funded by the Basque Department of Research

High risk of landscape degradation in buffer areas of tropical forest due to small-scale intensive cattle-farming •

## The problem of adoption of sustainable practices

effectiveness

targeting

Payments for \_\_\_ fairness
Ecosystem \_\_\_ equity
Services \_\_\_ efficiency

crowding out

perception of technology

Adoption social contents models—institutions access to information

personal characteristics
farm & household characteristics

conventional models fail to explain the lack of adoption of these practices

PES usually limited to a

single, simplified model

for all recipients

## Decision-making theories and diffusion theory

thoroughly used in agricultural innovation studies, but Applicable also to conservation practices?...



Silvopastoral systems:
pasture mixed with fodder trees

## Questions

What attitudes condition small-scale cattle-farmers' adoption of silvopastoral systems?

What features may be targeted to design policies which are more effective and capable of boosting a behavioural change?

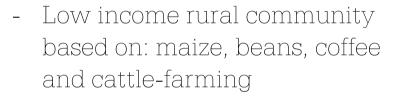
## **Q** methodology

## Why?

- A structured and quantifiable way to investigate existing perspectives and attitudes within a group
  Introduced in 1935, increasingly used in decision-making studies across disciplines
- Reliability and validity have been thoroughly tested

### Where?





- A local research institution (ECOSUR) is promoting the implementation of silvopastoral systems<sup>1</sup>, providing material and training, with varied success





### How?

#### 26 statements

covering these topics:

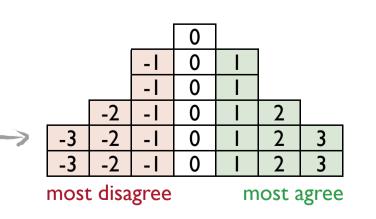
- cattle-farming activity, including fodder-trees
   attitude towards utilitarian and non-utilitarian environmental conservation
- the role of external payment programmes in livelihoods

## 33 farmers

sample based on:

- livelihood diversity/ spesialisation in cattle-farminglevel of involvement in
- level of involvement in planting fodder trees
- land and cattle herd size owned

#### administration



## Results

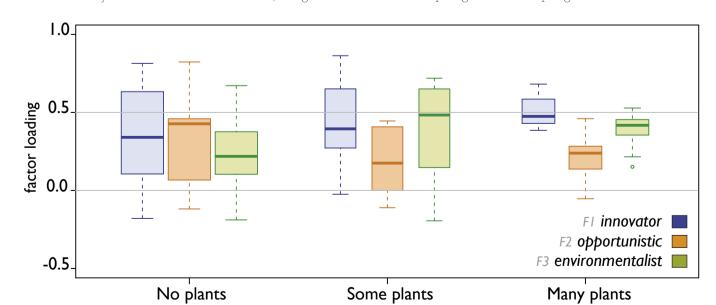
#### Three distinctive views among farmers

Data is analysed based on factor analysis in order to extract three archetypical factors or types of views. Each type is defined by the weighted average score that farmers representing it gave to each statement, as shown in the table. Stars indicate the most distinguishing statements.

topic	statement	FI	F2	F3
	23. I rather living from external payments than from the work in my lands	-3	-3	-2
external payments	<ol> <li>If the government does not give me external payments, taking care of the forest does not benefit me</li> </ol>	-3	0**	-3
	15. I need more external payments so that my children do not need to go to live elsewhere	-2**	I	2
	8. I participate in all external programmes that bring income	- *	0*	-3**
	4. I can maintain my family with my own work, external payments are just an aid	**	- *	0*
	3. What is of most interest to me from external programmes is what I learn to earn more money	0	ı	0
	25. I can earn more as a cattle-farmer if I let other wild animals live	0	1	1
conservation	10. Conserving the forest is responsibility of the landowner	3	2	3
	22. I try new things in my job	**		<b>0</b> *
personal	I analyse costs and benefits and after that     I work on the most beneficial activity	1	0**	1
behaviour	9. It is more convenient for me to cultivate my own food than to buy it	3	2	3
	I. My children and grandchildren will work in the same land that I cultivate now	0	3**	ı
	24. I need to improve my pasture, otherwise cattle-feed will run out in a few years	2	3**	ı
	19. With more training I could improve very much my work in cattle-farming	2	1	<u>0*</u>
	18. In dry season there is no alternative, other than releasing my cows free into the mountain	-1	<b> </b> **	-2
cattle-	16. It is more convenient for me to invest money	1	0	*
farming	in improving my pastures than in buying cows			
	13. What cattle produces is much more than what land loses	-	0	0
	12. My land is getting tired			2**
	6. I could increase my benefits in cattle-farming without degrading the land	0**		-2**
	26. In order to use one hectare for fodder trees during two years, I would need more land than what I have	-2*	-1	-
	20. It is convenient for me to clean my fodder tree plot from weeds	ı	<b>-2</b> **	0
fodder	even if I have other tasks, in order to produce more fodder	0**	<b>-2</b> **	2**
	17. If I had more money, I would plant fodder trees instead of increasing my cattle	-2		
trees	14. It takes too long for fodder trees to grow  7. Cultivating fodder trees involves a lot of effort and little benefit.	- <u>Z</u> -	- l -2*	-l -l
	7. Cultivating fodder trees involves a lot of effort and little benefit	<u>-1</u> -1	<u>-2"</u> -1	
	<ul><li>5. With tree planting programmes I receive more money in return for my work</li><li>2. I prefer two hectares of pasture than one hectare of fodder trees</li></ul>	2**	0	-l -l
	2. I prefer two hectares of pasture than one hectare of fodder trees		<u> </u>	-1

## Comparison of views with the level of success in fodder tree planting

Each farmer relates to each view by their factor loading coefficient. The boxplot compares average factor loadings of farmers grouped by their level of success in planting fodder trees. Box widths are proportional to the square-roots of the number of observations in each group. Level of success is based on secondary data<sup>2</sup> about the number, height and health of saplings after the programme.



## Policy implications

motivation: each type will adopt if...



If the practice is believed to be novel and with potential significant gains, despite their risks

the role of payments as an incentive for each type



Incentives in forms other than monetary, such as training or social acknowledgement, may be more effective than short term financial gains

# Opportunistic, subsidies-dependent, conservative laggards

Only if there is an external payment involved, or after he has seen that his neighbours are actually getting benefits from the practice

Monetary payments
might accelerate their
participation, but they
may stop the practice
as soon as the payment
stops, and if pioneers
have not yet shown its
benefits

## Conservationist, environmentally conscious, concerned about the future late adopters The

Involvement motivated by normative concerns and by a long term perspective on the land The distinctive effect of monetary payments over their adoption is unclear

Arguably, a stronger emphasis on engaging potential pioneers, for whom monetary payments may not necessarily be the most appropriate incentive, may have a boosting effect on getting the rest to adopt. This would imply making a more efficient use of existing resources for environmental policy implementation.

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### Further

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